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(21) International Application Number: PCT/CA00/00188 (22) International Filing Date: 23 February 2000 (23.02.00) (30) Priority Data: 09/256,694                      24 February 1999 (24.02.99)                      US (71) Applicant (for all designated States except US): UNIVERSITY TECHNOLOGIES INTERNATIONAL INC. [CA/CA]; Suite 204, 609 14th Street N.W., Calgary, Alberta T2N 2A1 (CA). (72) Inventors; and (75) Inventors/Applicants (for US only): IATROU, Kostas [CA/CA]; 6943 Edgemont Drive N.W., Calgary, Alberta T3A 2H9 (CA). FARRELL, Patrick, J. [CA/CA]; Apart- ment #A201, 3615 49th Street N.W., Calgary, Alberta T3A 2L8 (CA). BEHIE, Leo, A. [CA/CA]; 2532 Chicoutimi Drive N.W., Calgary, Alberta (CA). (74) Agent: MACGREGOR, George; Marks & Clerk, Station B, P.O. Box 957, Ottawa, Ontario K1P 5S7 (CA).		(81) Designated States: AE, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CR, CU, CZ, DE, DK, DM, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, TZ, UA, UG, US, UZ, VN, YU, ZA, ZW, ARIPO patent (GH, GM, KE, LS, MW, SD, SL, SZ, TZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).  Published Without international search report and to be republished upon receipt of that report.	
(54) Title: SEQUENCES FOR IMPROVING THE EFFICIENCY OF SECRETION OF NON-SECRETED PROTEINS FROM MAM- MALIAN AND INSECT CELLS			
<p>5' UTR      region I      region II      region III      3' UTR</p> <p><i>DNA coding for a secretion competent polypeptide</i></p> <p><i>spacer region encoding peptides to facilitate purification of fusion polypeptide (I/II/III) and for release of polypeptide encoded by region III by protease or chemical cleavage</i></p> <p><i>DNA coding for the non-secretion competent polypeptide to be secreted</i></p>			
(57) Abstract  An expression cassette is disclosed which is useful for the secretion of a heterologous protein from mammalian and insect cells. The expression cassette comprises a polynucleotide sequence encoding a secretion competent polypeptide which is linked in frame to a heterologous gene sequence. Also disclosed is a method of secreting heterologous proteins in mammalian and insect cells using the expression cassette.			

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**SEQUENCES FOR IMPROVING THE EFFICIENCY OF SECRETION OF  
NON-SECRETED PROTEINS FROM MAMMALIAN AND INSECT  
CELLS**

5                                   **CROSS-REFERENCE TO RELATED APPLICATIONS**

          This application is a continuation-in-part of U.S. Patent Application Serial No. 09/136,421, filed August 20, 1998 which in turn claims priority to U.S. Provisional Application Serial No. 60/056,871 filed August 21, 1997, both of which are incorporated herein by reference in their entirety.

10                                   **BACKGROUND OF THE INVENTION**

**FIELD OF THE INVENTION**

          The present invention relates to the engineering of heterologous gene constructs by recombinant DNA techniques for the more efficient processing and secretion of heterologous genes in mammalian and insect cells. Particularly the  
15   present invention relates to the use of secretion competent polypeptides linked in frame with a non-secretion competent polypeptide to direct the secretion of the non-secretion competent polypeptide.

**DESCRIPTION OF THE RELATED ART**

          Recombinant polypeptides for medical, research and veterinary  
20   applications are produced using a wide variety of genetically engineered organisms that include transgenic animals (eg. cows, goats) transgenic plants (eg. canola) recombinant viruses (eg. baculoviruses) and transformed prokaryotic cells (eg. bacteria) and eukaryotic cells (eg. yeast and animal cells) in culture.

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Since most of the proteins are glycoproteins requiring advanced post-translational modification expression systems using yeast and bacteria are unsuitable. For this reason, other protein expression systems were developed using higher eukaryotes, including virus-based expression systems such as baculovirus and adenovirus and expression from transformed mammalian cells (CHO, BHK NsO etc. and production in the milk of transgenic farm animals). However, even these most advanced vehicles for protein production are inadequate due to difficulties in recovery and purification of the recombinant proteins.

Viral expression systems can produce impressive levels of recombinant proteins in both insect (Maiorella et al. 1988) and mouse cell lines (Garnier et al., 1994) but suffer from serious biological and engineering disadvantages. First, because host cells are killed at the end of each infection cycle, protein expression is only temporary. This also means that protein expression is not suited to the state of the art perfusion bioreactors. Second, the biological authenticity of the expressed protein is not guaranteed because the cell machinery necessary for post-translational modifications is inactivated in the late stages of infection. Unsuitable N-linked glycosylation patterns are widely reported for proteins produced following infection with recombinant viruses, which alters the normal glycosylation characteristics of the cell hosts (Jarvis and Summers, 1989). It is known however that the lepidopteran insect cell hosts are capable of the complex oligosaccharide processing required for *in vivo* human use of proteins (Davis and Wood 1995) Thirdly, although native genes containing all or part of their introns are generally expressed at a higher level than the corresponding cDNAs (Brinster et al. 1988) virus infected insect cells cannot efficiently excise introns from expressed genomic DNA, thus limiting foreign protein expression from cDNAs only (O'Reilly et al., 1991). Fourth, purification of recombinant proteins from virus infected systems is problematic. Because proteins cannot be secreted

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efficiently in viral systems due to the inactivation of the secretory pathway upon infection (Jarvis and Summers 1989) they must be recovered from cell lysates after cell lysis. The presence of proteases in such cellular lysates also cause degradation of the over-expressed product.

5           A major problem in biotechnology exists in the production and recovery of recombinant non-secretion competent polypeptides, such as intracellular proteins or protein subunits, from genetically engineered organisms. Often these intracellular proteins or protein subunits can be expressed at only moderate levels inside a cell and their purification must first include steps to lyse the cells,  
10 followed by complex procedures to isolate the desired polypeptides from many other intracellular proteins.

          All secreted proteins possess a consensus signal peptide of 10 to 50 amino acids at their N-terminus that directs the protein to the secretory pathway of eukaryotic cells or to the cytoplasmic membrane of prokaryotic cells. Using  
15 genetic engineering techniques, some research groups have therefore tried to secrete intracellular proteins by fusing the gene sequences of consensus signal peptides in-frame to the 5' end of the gene encoding the non-secretion polypeptide. When these heterologous genes were expressed, however, the mere presence of a consensus signal peptide was found to be insufficient for the  
20 efficient secretion of non-secretion competent polypeptides across a given biological membrane, a problem which is often encountered in the field of biotechnology. For example, Martens et al. (1995), attached the signal sequence of the juvenile hormone esterase gene to the 5' end of the CryIA(b) insecticidal crystal protein gene to induce secretion but found that secretion into the medium  
25 from the insect cells was poor.

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A method to efficiently secrete non-secretion competent polypeptides, such as cytoplasmic proteins, nuclear factors and protein subunits would be desirable. This would allow the recombinant protein to be expressed at a higher level. Second because the recombinant protein would be secreted into the extracellular environment, purification of the peptide or protein would not be complicated by the presence of other intracellular proteins and would not involve harming the producing cells.

Advantages of the present invention will become apparent from the following description of the invention with reference to the attached drawings.

10

#### SUMMARY OF THE INVENTION

The present invention is directed to an expression cassette useful for the secretion of a heterologous protein as a fusion protein comprising a polynucleotide encoding from its 5' to 3' direction: a) a promoter b) a signal peptide; c) a cell secretion competent polypeptide; and d) a heterologous protein wherein the polynucleotide sequences encoding for (b) (c) and (d) are linked in frame and wherein the cell secretion competent polypeptide is not an immunoglobulin Fc region. The secretion competent polypeptide may be selected from the group consisting of insect juvenile hormone esterase, human granulocyte macrophage colony stimulating factor, human interleukin-4, mouse interleukin-4, tissue plasminogen activator, transferrin, gamma interferon, transforming growth factor beta, epidermal growth factor, insect adipokinetic hormone precursor, insulin-like growth factor 1, stem cell factor, leptin, human growth hormone, erythropoietin, interleukin-5, interleukin-6, tumor necrosis factor alpha, tissue inhibitor of metalloproteases-1, secreted alkaline phosphatase, soluble isoforms of the alpha subunit of the granulocyte.

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macrophage colony stimulating factor receptor, and soluble isoforms of the beta subunit of the granulocyte macrophage colony stimulating factor receptor.

5 In a second aspect, the present invention is also directed to a vector useful for the secretion of a heterologous protein as a fusion protein comprising a polynucleotide encoding from its 5' to 3' direction: a) a promoter; b) a signal peptide; c) a cell secretion competent polypeptide; and d) a heterologous protein wherein the polynucleotide sequences encoding (b) (c) and (d) are linked in frame and wherein the cell secretion competent polypeptide is not an immunoglobulin Fc region.

10 In another aspect, the present invention is directed to a method of secreting a heterologous protein from insect cells, comprising introducing into an insect cell an expression cassette comprising a polynucleotide encoding from its 5' to 3' direction: a) a promoter b) a signal peptide; c) a cell secretion competent polypeptide; and d) a heterologous protein wherein the polynucleotide sequences  
15 encoding (b) (c) and (d) are linked in frame under conditions wherein the heterologous protein is expressed and secreted from the insect cell. The secretion competent polypeptide may be selected from the group consisting of insect juvenile hormone esterase, human granulocyte macrophage colony stimulating factor, human interleukin-4 , mouse interleukin-4, tissue plasminogen activator, transferrin, gamma interferon, transforming growth factor beta, epidermal growth  
20 factor, insect adipokinetic hormone precursor, insulin-like growth factor 1, stem cell factor, leptin, human growth hormone, erythropoietin, interleukin-5, interleukin-6, tumor necrosis factor alpha, tissue inhibitor of metalloproteases-1, secreted alkaline phosphatase, soluble isoforms of the alpha subunit of the  
25 granulocyte macrophage colony stimulating factor receptor, and soluble isoforms of the beta subunit of the granulocyte macrophage colony stimulating factor.

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The present invention is also directed to a method of secreting a heterologous protein from mammalian cells, comprising introducing into a mammalian cell an expression cassette comprising a polynucleotide encoding from its 5' to 3' direction: a) a promoter b) a signal peptide; c) a secretion competent polypeptide selected from the group consisting of juvenile hormone esterase or human granulocyte macrophage colony stimulating factor; and d) a heterologous protein wherein the polynucleotide sequences encoding (b) (c) and (d) are linked in frame under conditions wherein the heterologous protein is expressed and secreted from the mammalian cell.

## BRIEF DESCRIPTION OF THE DRAWINGS

Figure 1 is a schematic illustration of the generic design of a DNA molecule for the secretion of either an intracellular protein or protein subunit.

Figure 2A [SEQ ID NO:12] is a schematic illustration of the design of the DNA module using the juvenile hormone esterase (JHE) cDNA as an example of a secretion competent polypeptide to secrete the bacterial cytoplasmic protein CAT.

Figure 2B is a photograph of a Western Blot which shows the secretion of the JHE-CAT fusion protein using the secretion module described in Figure 2A.

Figure 2C shows the liberation of the CAT protein from the fusion protein when incubated with enteropeptidase.

Figure 3A [SEQ ID NO:12] is a schematic illustration of the design of the DNA molecule using the juvenile hormone esterase (JHE) cDNA as an example of a secretion competent polypeptide to secrete the insect nuclear protein BmCF1.



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Figure 3B is a photograph of a Western Blot which shows the secretion of the JHE-BmCF1 fusion protein using the secretion module described in Figure 3A.

5 Figure 4 [SEQ ID NO:13] shows the DNA sequence of the juvenile hormone esterase (JHE) gene from *Heliothis virescens*, Genbank Accession No. J04955 (Hanzlik et al., 1989). The first translation start codon, methionine, is indicated in bold.

10 Figure 5 [SEQ ID NO:12] is a schematic illustration of the design of the DNA molecule using the human granulocyte macrophage colony stimulating factor (GMCSF) cDNA as an example of a secretion competent polypeptide to secrete the CAT protein.

Figure 6 [SEQ ID NO:14] shows the DNA sequence of the human granulocyte macrophage colony stimulating factor cDNA. The first translation start codon, methionine, and the translation stop codon are indicated in bold.

15 Figure 7A is a photograph of a Western Blot which shows the amount of the CAT protein and the GMCSF-CAT fusion protein within the cell. Figure 7B is a photograph of a Western Blot which shows the amount of the CAT protein or the GMCSF-CAT fusion protein in the supernatant. In both figures, lane 1 is cells transfected with pIE1/153A, lane 2 is cells transfected with pIE1/153A.CAT and lane 3 is cells transfected with pIE1/153A.GMCSF.HisEP.CAT.  
20

#### DESCRIPTION OF THE PREFERRED EMBODIMENTS

The present invention relates to an expression cassette useful for the secretion of a heterologous gene comprising a polynucleotide encoding from its 5'

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to 3' direction: a) a promoter b) a signal peptide; c) a cell secretion competent polypeptide; and d) a heterologous protein wherein the polynucleotide sequences encoding (b) (c) and (d) are linked in frame and wherein the secretion competent polypeptide is not an immunoglobulin Fc region.

5           The present invention is also directed to a method of secreting a heterologous protein from insect cells, comprising transforming an insect cell with a expression cassette comprising a polynucleotide encoding from its 5' to 3' direction: a) a promoter b) a signal peptide; c) an insect cell secretion competent polypeptide; and d) a heterologous protein wherein the polynucleotide sequences  
10       encoding (b) (c) and (d) are linked in frame under conditions wherein the heterologous protein is expressed and secreted from the insect cell.

          However, prior to discussing this invention in further detail, the following terms will first be defined.

#### Definitions

15           The term "baculovirus" is used herein as an alternative to the term "nuclear polyhedrosis virus" or "NPV". It encompasses viruses classified under subgroup A of the family of Baculoviridae. Preferably, it includes the viruses specific for the following insects: *Bombyx* sp., *Autographica* sp., *Spodoptera* sp. and other lepidoptera.

20           The term "expression cassette" means a polynucleotide encoding from its 5' to 3' direction: a) a promoter b) a signal peptide; c) a cell secretion competent polypeptide; and d) a heterologous protein wherein the polynucleotide sequences encoding (b) (c) and (d) are linked in frame wherein the SCP is not an immunoglobulin Fc region. The expression cassette may additionally comprise a

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sequence encoding mRNA termination and polyadenylation signals. The expression cassette is capable of directing the expression and secretion of the heterologous protein as a secretion competent polypeptide-heterologous protein fusion protein when the expression cassette containing the heterologous protein is introduced into an insect cell.

The term "vector" means nucleic acid which comprises: (1) the expression cassette, and (2) DNA sequences allowing replication and selection in bacteria, for example *E. coli*. The vector may be a plasmid, another virus or simply a linear DNA fragment. It is contemplated that the vector may be a baculovirus artificial chromosome (BVAC) as set forth in U.S. Patent Application Serial No. 09/136,419, entitled BACULOVIRUS ARTIFICIAL CHROMOSOMES AND METHODS OF USE, Attorney Docket No. 028722-171, and filed concurrently herewith, which claims priority to U.S. Provisional Patent Application Serial No. 60/056,807, filed August 21, 1997, both of which are incorporated by reference herein in their entirety.

The term "baculovirus chromosome" refers to the genome of the baculovirus, which genome is circular. In a preferred embodiment, the baculovirus artificial chromosome is derived from the *B. mori* nuclear polyhedrosis virus. In another embodiment, the chromosome is derived from the *A. californica* nuclear polyhedrosis virus or any other nuclear polyhedrosis virus that contains a *lef-8* gene or *lef-8*-like gene.

Detectable markers are genes which allow detection of cells that have been transfected or infected with the gene. Detectable markers include reporter genes and selection genes. Reporter genes are genes which confer a characteristic onto the cell which is detectable. Suitable reporter genes include the gene encoding for green fluorescent protein, the  $\beta$ -galactosidase gene and the chloramphenicol

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acetyl transferase gene. Selection genes are wild-type alleles of genes that encode for enzymes which allow the cell to grow on certain media, such as media containing antibiotics. These genes include, for example, the prokaryotic hygromycin resistance and neomycin resistance genes.

5           The secretion competent polypeptide or SC polypeptide, or SCP, is a polypeptide which is any complete protein or any part of a protein that is not merely a consensus signal peptide that enables complete passage of the polypeptide through the secretory pathway of the cell and through the cytoplasmic membrane. The SC polypeptide includes, but is not limited to the following  
10           peptides: insect juvenile hormone esterase, human granulocyte macrophage colony stimulating factor, human interleukin-4, mouse interleukin-4, tissue plasminogen activator, transferrin, gamma interferon, transforming growth factor beta, epidermal growth factor, insect adipokinetic hormone precursor, insulin-like growth factor 1, stem cell factor, leptin, human growth hormone, erythropoietin,  
15           interleukin-5, interleukin-6, tumor necrosis factor alpha, tissue inhibitor of metalloproteases-1, secreted alkaline phosphatase, soluble isoforms of the alpha subunit of the granulocyte macrophage colony stimulating factor receptor, and soluble isoforms of the beta subunit of the granulocyte macrophage colony stimulating factor.

20           The term "SCP gene" means that portion of a gene which encodes for a secretion competent polypeptide. The gene does not include its stop codon.

          The term "juvenile hormone esterase gene" or "JHE gene" means that portion of the juvenile hormone esterase gene, in addition to its signal sequence,  
25           which encodes for a polypeptide that directs the secretion of non-secretion competent heterologous protein when the JHE gene is functionally linked in frame at its 3' end with the heterologous gene. The juvenile hormone esterase gene

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does not include its stop codon. The term "JHE gene" means a DNA sequence of at least about 100 bp, more preferably at least about 500 bp and most preferably an entire gene substantially identical to the DNA sequence as set forth in Figure 4. The juvenile hormone esterase gene is derived from *Heliothis virescens*. The JHE peptide is that portion of the JHE protein which directs the secretion of non-secretion competent heterologous protein when the JHE peptide is linked to the N-terminus of the heterologous protein.

The term "human granulocyte macrophage colony stimulating factor gene" or GMCSF gene" means that portion of the human granulocyte macrophage colony stimulating factor gene, which encodes for a polypeptide that directs the secretion of non-secretion competent heterologous protein when the GMCSF gene is functionally linked in frame at its 3' end with the heterologous gene. The human GMCSF gene does not include its stop codon. The term means a DNA sequence of at least about 100 bp, more preferably at least about 300 bp and most preferably an entire gene substantially identical to the DNA sequence as set forth in Figure 6. The GMCSF peptide is that portion of GMCSF which directs the secretion of non-secretion competent heterologous protein when the GMCSF peptide is linked to the N-terminus of the heterologous protein.

The terms "producing heterologous protein" or "expressing heterologous protein" means that the structural gene encoding the heterologous protein is transcribed into mRNA and that the mRNA is further translated into protein. In a preferred embodiment the heterologous protein will be properly processed by the eukaryotic cell, although such processing may be in a tissue specific manner.

The term "secreting" or "secretion" is the active export of a protein from a cell into the extracellular environment. Generally secretion occurs through a secretory pathway in the cell, for example, in eukaryotic cells, this involves the

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endoplasmic reticulum and golgi apparatus.

The term "structural gene" refers to those DNA sequences which, when functionally attached to a cellular or viral promoter and linked in frame with the secretion competent polypeptide (SCP) gene, will be transcribed and produce a SCP-heterologous fusion protein which is secreted from the cells.

The term "heterologous structural gene" or "heterologous gene" is a structural gene which will be transcribed and will produce a heterologous protein when functionally attached to any promoter capable of functioning in the host cell or to an enhancer and promoter where the structural gene is introduced into eukaryotic cells either by infection or transfection of cells.

The term "heterologous protein" refers to a protein encoded by a heterologous structural gene. Examples of heterologous proteins are chloramphenicol acetyl transferase, human alpha interferon (IFN- $\alpha$ ), insulin-like growth factor-II (IGF-II), human interleukin 3, mouse interleukin 3, human and mouse interleukin 4, human T-lymphotropic virus (HTLV-1) p40<sup>x</sup>, HTLV-1 *env*, human immunodeficiency virus (HIV-1) *gag*, *pol*, *sor*, gp41, and gp120, adenovirus E1a, Japanese encephalitis virus *env* (N), bovine papilloma virus 1 (BPV1) E2, HPV6b E2, BPV1 E6, and human apolipoproteins A and E;  $\beta$ -galactosidase, hepatitis B surface antigen, HIV-1 *env*, HIV-1 *gag*, HTLV-1 p40<sup>x</sup>, human IFN- $\beta$ , human interleukin 2, *c-myc*, *D. melanogaster* Kruppel gene product, bluetongue virus VP2 and VP3, human parainfluenza virus hemagglutinin (HA), influenza polymerases PA, PB1, and PB2, influenza virus HA, lymphocytic choriomeningitis virus (LCMV) GPC and N proteins, *Neurospora crassa* activator protein, polyomavirus T antigen, simian virus 40 (SV40) small t antigen, SV40 large T antigen, Punta Toro phlebovirus N and Ns proteins, simian rotavirus VP6, CD4 (T4), human erythropoietin, Hantaan virus

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structural protein, human epidermal growth factor (EGF) receptor, human insulin receptor, human B lymphotropic virus 130-kd protein, hepatitis A virus VP1, human tyrosine hydroxylase, human glucocerebrosidase, p53 protein, topoisomerases, ecdysone receptor, DNA polymerase subunits, RNA polymerase I, II and III subunits, cytoplasmic and nuclear factors.

The term "non-secretion competent heterologous proteins" means proteins which are not naturally secreted from the cell into the extracellular environment. Examples of non-secretion competent heterologous proteins are chloramphenicol acetyl transferase, human immunodeficiency virus (HIV-1) *gag*, *pol*, *sor*,  $\beta$ -galactosidase, *c-myc*, influenza polymerases PA, PB1, and PB2, *Neurospora crassa* activator protein, p53 protein, topoisomerases, ecdysone receptor, DNA polymerase subunits, RNA polymerase I, II and III subunits, cytoplasmic and nuclear factors and non-secretion competent subunits of secreted and non-secreted proteins.

The term "promoter" means a DNA sequence which initiates and directs the transcription of a heterologous gene into an RNA transcript in cells. The promoter may be a baculovirus promoter derived from any of over 500 baculoviruses generally infecting insects, such as for example the orders Lepidoptera, Diptera, Orthoptera, Coleoptera and Hymenoptera, including for example but not limited to the viral DNAs of *Autographa californica* MNPV, *Bombyx mori* NPV, *Tricoplusia ni* MNPV, *Rachiplusia ou* MNPV, or *Galleria mellonella* MNPV wherein said baculovirus promoter is a baculovirus immediate-early gene IE1 or IEN promoter; a delayed-early gene promoter region such as the 39K gene promoter or a baculovirus late gene promoter, such as the polyhedrin gene promoter. The promoter may also be an insect cellular promoter, such as the actin gene promoter, the ribosomal gene promoter, the histone gene promoter, or the tubulin gene promoter. The promoter may also be a mammalian

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promoter such as the cytomegalovirus immediate early promoter, the SV40 large T antigen promoter or the Rous Sarcoma virus (RSV) LTR promoter.

5 The term "enhancer" means a cis-acting nucleic acid sequence which enhances the transcription of the structural gene and functions in an orientation and position-independent manner. The enhancer can function in any location, either upstream or downstream relative to the promoter. The enhancer may be any DNA sequence which is capable of increasing the level of transcription from the promoter when the enhancer is functionally linked to the promoter, for example the RSV LTR enhancer, baculovirus HR1, HR2 or HR3 enhancers or the  
10 CMV immediate early gene product enhancer. In a preferred embodiment, the enhancer is the 1.2 kb BmNPV enhancer fragment set forth in U.S. Patent Application No. 08/608,617 which is incorporated by reference herein.

The term "signal sequence" or leader sequence " means a polynucleotide which encodes an amino acid sequence, i.e. a "signal peptide" or "leader  
15 peptide", that initiates transport of a protein across the membrane of the endoplasmic reticulum. Signal sequences have been well characterized in the art and are known to typically contain 16 - 30 amino acid residues, but may contain greater or fewer amino acid residues. A consensus signal peptide consists of three regions: a basic N-terminal region, a central hydrophobic region, and a more  
20 polar C-terminal region. The central hydrophobic region contains 4 to 12 hydrophobic residues that anchor the signal peptide across the membrane lipid bilayer during transport of the nascent polypeptide. The signal peptide is usually cleaved within the lumen of the endoplasmic reticulum by cellular enzymes known as signal peptidases. Thus the portion of the DNA encoding the signal  
25 sequence may be cleaved from the amino terminus of the SCP-heterologous fusion protein during secretion. This results in production of the SCP-heterologous fusion protein consisting of the SC polypeptide fused to the heterologous protein.



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Suitable signal peptides or signal sequences include, but are not limited to, the JHE signal peptide, the GMCSF signal peptide, tissue plasminogen activator signal peptide, *Bombyx mori* chorion protein signal peptide, and the honey bee mellitin signal peptide. It is also contemplated that where a complete protein is used for the secretion competent polypeptide, the complete protein may include its signal peptide. Therefore, another signal peptide sequence may not be necessary to achieve expression and secretion of the heterologous protein.

It is also contemplated that the expression of the heterologous gene may be enhanced by the expression of other factors, for example the IE-1 protein of nuclear polyhedrosis viruses or the herpes simplex virus VP16 transcriptional activator.

The term "functionally linked" or "functionally attached" when describing the relationship between two DNA regions simply means that they are functionally linked to each other and they are located on the same nucleic acid fragment. A promoter is functionally attached to a structural gene if it controls the transcription of the gene and it is located on the same nucleic acid fragment as the gene. An enhancer is functionally linked to a structural gene if it enhances the transcription of that gene and it is functionally located on the same nucleic acid fragment as the gene.

The term "linked in frame" means that one gene is linked at its 3' end to the 5' end of a second gene such that after transcription and translation of the genes a single fusion protein comprising the two proteins encoded by the genes is produced. The two genes may be linked by a spacer nucleic acid sequence encoding amino acids.

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The term "introduction" refers to either infection or transfection of insect cells.

The term "infection" refers to the invasion by pathogenic viral agents of cells where conditions are favorable for their replication. Such invasion can occur by placing the viral particles directly on the insect cell culture or by injection of the insect larvae with the recombinant virus or by oral ingestion of the viral particles by the insect. The amount of recombinant virus injected into the larvae will be from  $10^2$  to  $10^5$  pfu of non-occluded virus/larvae. Alternatively, larvae can be infected by the oral route using occlusion bodies carrying recombinant viruses. In general, the amount of occlusion bodies fed to the larvae is that amount which for wild-type viruses corresponds to the  $LD_{50}$  for that species of baculovirus and insect host. The  $LD_{50}$  varies with each species of baculovirus and the age of the larvae. One skilled in the art can readily determine the amount of occlusion bodies to be administered. Typically, the amount will vary from  $10-10^6$  occlusion bodies/insect.

The term "transfection" refers to a technique for introducing purified nucleic acid into cells by any number of methods known to those skilled in the art. These include but are not limited to, electroporation, calcium phosphate precipitation, lipofection, DEAE dextran, liposomes, receptor-mediated endocytosis, and particle delivery. The polynucleotide can also be used to microinject eggs, embryos or *ex vivo* or *in vitro* cells. Cells can be transfected with the polynucleotide described herein using an appropriate introduction technique known to those in the art, for example, liposomes. In a preferred embodiment, the polynucleotide is introduced into the insect cells by mixing the DNA solution with Lipofectin™ (GIBCO BRL Canada, Burlington, Ontario) and adding the mixture to the cells.

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The term "insect cells" means insect cells from the insect species which are subject to baculovirus infection. For example, without limitation: *Autographa californica*; *Bombyx mori*; *Spodoptera frugiperda*; *Choristoneura fumiferana*; *Heliothis virescens*; *Heliothis zea*; *Helicoverpa zea*; *Helicoverpa virescens*;  
5 *Orgyia pseudotsugata*; *Lymantria dispar*; *Plutella xylostella*; *Malacostoma disstria*; *Trichoplusia ni*; *Pieris rapae*; *Mamestra configurata*; *Mamestra brassica*; *Hyalophora cecropia*.

### Methodology

10 Signal peptide sequences are often not sufficient for the efficient secretion of certain peptides or proteins such as nuclear factors from eukaryotic cells. Such peptides or proteins are termed non-secretion competent proteins.

It has now been found that the fusion of secretion competent polypeptide to the 5' end of a non-secretion competent protein will allow efficient secretion of the fusion protein from the cell into the extracellular environment. In order to  
15 achieve continuous high level secretion of heterologous proteins in cells, the cells are transformed with an expression cassette comprising a promoter functionally linked to a signal sequence which in turn is linked in frame to sequence encoding a secretion competent polypeptide which in turn is linked in frame to the gene coding for the heterologous protein. The linkage of the secretion competent  
20 polypeptide gene to the heterologous gene is preferably in frame to ensure that both the SCP gene and the heterologous gene are transcribed and translated as a single fusion protein.

To achieve continuous secretion of heterologous proteins, in one embodiment, normal insect tissue culture cells can be transformed with a vector

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containing such an expression cassette comprising a promoter, a signal sequence functionally linked in frame to a SCP gene which in turn is functionally linked in frame to the desired heterologous gene. It is contemplated that the vector may also contain an extra gene expressing a selective marker (e.g. antibiotic resistance gene under the control of a promoter that functions constitutively in insect cells). Application of a relevant selection should lead to integration of one or more multiple copies of the plasmid into the chromosomes of the insect cells, thus generating an insect cell line capable of continuous secretion of the heterologous protein.

It is contemplated that the expression cassette may also include an enhancer sequence which would increase the level of transcription from the promoter. The level of transcription from the cellular promoter functionally linked to an enhancer as compared to the level of transcription from the cellular promoter alone is preferably at least about 10 fold and more preferably at least about 100 fold.

The insect cells may further express other transcription factors which enhance transcription such as the IE-1 protein. In one embodiment the insect cells can be transformed with a vector containing the IE-1 gene and a suitable resistance/selectable marker gene. Application of a relevant selection should lead to integration of one or more multiple copies of the vector into the chromosomes of the cells, thus generating an insect cell line capable of continuous high level expression of the IE-1 gene product. Thus the cell line will contain the IE-1 gene in the absence of added baculovirus. Such a cell line can be subsequently transformed with additional vectors containing either the expression cassette containing an insect cellular promoter functionally linked to the JHE gene and a heterologous gene. The second vector may also comprise an additional gene conferring resistance to a second selection agent. In another embodiment, the

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gene for the IE-1 protein may be inserted into the vector comprising the expression cassette such that the vector contains both the JHE-heterologous genes and the IE-1 gene. In both cases, synthesis of the foreign protein will be continuous, because integrated expression cassettes cannot be lost through replication and the insect cells never die because they are not infected by any viruses. The level of production of heterologous proteins in cells expressing the IE-1 gene as compared to cells without the IE-1 gene is preferably at least about 10 fold greater and more preferably at least about 100 fold greater.

The vector may be a baculovirus artificial chromosome as set forth in U.S. Patent Application Serial No. 60/056,807, entitled BACULOVIRUS ARTIFICIAL CHROMOSOMES AND METHODS OF USE, Attorney Docket No. 028722-153, filed August 21, 1997 and incorporated by reference in its entirety. Such baculovirus artificial chromosomes would not integrate into the cellular chromosomes but rather replicate autonomously without killing the cells.

It is appreciated that the expression cassette of the present invention could be used to express and secrete any heterologous protein. However, the expression cassette is particularly useful in the expression and secretion of heterologous proteins previously thought to not be secretion competent.

Mammalian cells could be transfected with an expression cassette of the present invention where the SCP is selected from either the juvenile hormone esterase secretion competent peptide or the GMCSF secretion competent peptide. Methods of transfecting mammalian cells are known in the art. If mammalian cells were used, the promoter and enhancer sequences would preferably be those promoters and enhancer sequences suitable for expression of a heterologous gene in the mammalian cell. The heterologous protein would be secreted from the mammalian cell into the extracellular environment as a fusion protein, wherein

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the heterologous protein is fused in frame to the carboxyl terminus of the JHE or GMCSF peptide.

5 The heterologous protein is secreted from the insect cell into the extracellular environment as a fusion protein, wherein the heterologous protein may be fused in frame directly, or via a linking peptide, to the carboxyl terminus of the SC polypeptide. The heterologous fusion protein may then be treated to remove the SC polypeptide resulting in an active heterologous protein. In order to facilitate the removal of the SC polypeptide, it is contemplated that the heterologous gene may be linked to the SCP gene in frame via a linking sequence  
10 which encodes an amino acid sequence or linking peptide which can be easily cleaved. An example of a suitable cleavage site is the nucleic acid sequence coding for the amino acid sequence DDDDK, which is a cleavage site recognized by the protease porcine intestine enteropeptidase.

15 The linking sequence may also contain a DNA sequence encoding a spacer peptide for better access to the cleavage site. The linking sequence may also contain a sequence for efficient purification of the fusion peptide from the extracellular environment. An example of such a sequence is a nucleic acid sequence coding for six histidine residues, which residues will bind to a Ni(II)-NTA chromatography matrix for affinity purification.

## 20 Utility

This technique would be useful for the extracellular production of non-secretion competent polypeptides from an insect cell for medical, research or veterinary application.

25 As can be appreciated from the disclosure above, the present invention has

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a wide variety of applications. Accordingly, the following examples are offered by way of illustration and not by way of limitation.

### EXAMPLES

5 In the examples below, the following abbreviations have the following meanings. If not defined below, then the abbreviations have their art recognized meanings.

ORF	-	open reading frame
kb	-	kilobase
mg	-	milligram
10 mL	-	milliliter

Chemicals used in the following examples were obtained from the following companies:

	Amersham Canada Ltd., Oakville, Ontario, Canada
	J.T. Baker, Phillipsburg, New Jersey
15	BioRad Laboratories Ltd. Canada, Mississauga, Ontario, Canada
	Boehringer Mannheim, Laval, Quebec, Canada
	5 Prime-3 Prime, Inc., Boulder, Colorado
	GIBCO BRL Canada, Burlington, Ontario, Canada
	Hyclone Laboratories, Inc., Logan, Utah
20	ICN Biopharmaceuticals Canada Ltd., Montreal Quebec, Canada
	JRH Biosciences, Inc., Lenexa, Kansas

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Life Technologies, Burlington, Ontario, Canada

New England Biolabs, Inc., Mississauga, Ontario, Canada

Pharmacia LKB, Baie d'Urfe', Quebec, Canada

Promega Corporation, Madison, Wisconsin

5 Sigma, St. Louis, Missouri

Stratagene, La Jolla, California

United States Biochemicals, Cleveland, Ohio

10 All enzymes used for the construction and characterization of the recombinant plasmids and baculoviruses were obtained from Pharmacia, LKB; New England Biolabs, Inc.; GIBCO BRL Canada; Boehringer Mannheim; and used according to those suppliers recommendations.

15 The cloning procedures set forth in the examples are standard methods described in Sambrook et al., *Molecular Cloning: A Laboratory Manual*, Cold Spring Harbor Laboratory (1989) which is incorporated herein by reference. This reference includes procedures for the following standard methods: cloning procedures with *E. coli* plasmids, transformation of *E. coli* cells; plasmid DNA purification, agarose gel electrophoresis, restriction endonuclease digestion, ligation of DNA fragments and other  
20 DNA-modifying enzyme reactions.

#### Example 1. Secretion of chloramphenicol acetyl transferase (CAT)

The DNA module for the secretion of chloramphenicol acetyl transferase (CAT) is shown in Figure 2A. At the 5' end, it contains the complete cDNA coding for the insect secreted protein juvenile hormone



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esterase (JHE) (Hanzlik et al.) which can be secreted from animal cell hosts.

The spacer region contains DNA coding for six histidine residues that are attracted to Ni(II)-NTA chromatography matrices for affinity purification

(Kroll 1993). The spacer region also contains a nucleic acid sequence

coding for the amino acid sequence DDDDK, which is a cleavage site

recognized by the protease porcine intestine enteropeptidase (Kell 1971).

The spacer region is bound on each side by a proline residue which

encourages the spacer peptide to form its own domain for better access to

both the chromatographic purification matrix and the enteropeptidase. The

module also contains the DNA sequence coding for CAT.

The vectors for Example 1 were constructed as follows. The expression plasmid pIE1/153A contains the *Bombyx mori* cytoplasmic actin cassette (Johnson et al., 1992; U.S. Patent Application No. 08/608,617),

*Bombyx mori* Nuclear Polyhedrosis Virus (BmNPV) HR3 enhancer element

and the BmNPV *ie1* gene and was constructed as follows. A 1.2 kb SspI

fragment corresponding to the BmNPV genomic region from 51.8 to 52.7

map units containing the BmNPV HR3 element was cloned into the SmaI

site of pBluescript-SK+ (Stratagene) to yield plasmid p153. The plasmid

pIE1/153 was made by inserting a 3.8 kb ClaI fragment containing the *ie1*

gene into the ClaI site of plasmid p153, removing unwanted restriction sites

in the polylinker of this plasmid by double digestion with SacII and BamHI,

blunt ending with T4 DNA polymerase and self-ligating the resultant

plasmid. A 2.2 kb SacI fragment containing the actin cassette from the

plasmid pBmA (Johnson et al., 1992) was ligated into the unique SacI site

of plasmid pIE1/153 to yield the expression plasmid pIE1/153A.

The vector, pBmA is a pBluescript (Stratagene) derivative of clone pA3-5500 which contains the A3 cytoplasmic actin gene of *Bombyx mori*

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(Mounier and Prudhomme, 1986). Plasmid pBmA was constructed to contain 1.5 kb of the A3 gene 5' flanking sequences and part of its first exon to position +67 (relative to transcription initiation), a polylinker region derived from plasmid pBluescript (Stratagene) for insertion of foreign gene sequences, and an additional 1.05 kb of the A3 gene sequences encompassing part of the third exon of the gene from position +836 and adjacent 3' flanking sequences which contain signals required for RNA transcript polyadenylation. See U.S. Patent Application Serial No.08/608,617 which is incorporated by reference herein in its entirety.

This expression vector was constructed by (1) subcloning into plasmid Bluescript-SK+ (Stratagene) a 1.5 kb KpnI/AccI fragment of clone pA3-5500 containing the 5' flanking, 5' untranslated and coding sequences of the A3 gene up to position + 67 to generate plasmid pBmAp; (2) mutagenizing the ATG translation initiation codon present at position +36 to +38 of the actin coding sequence in plasmid pBmAp into AGG, AAG or ACG by the method of Kunkel (1985) to generate plasmids pBmAp.AGG, pBmAp.AAG and pBmAp.ACG; (3) subcloning into plasmid pSP72 (Promega Corporation) a 1.05 kb XhoI/SalI fragment of clone pA3-5500, containing part of the third exon of the actin gene from position +836 and adjacent 3' flanking sequences which include signals required for RNA transcript polyadenylation, to generate plasmid pBmAt; (4) converting the unique XhoI site of plasmid pBmAt into a NotI site by digestion of this plasmid with XhoI (GIBCO BRL), and end-filling with Klenow DNA polymerase (Boehringer Mannheim), ligation of NotI linkers (DNA Synthesis Laboratory).

A 0.8 kb BamHI fragment, containing the CAT open reading frame was isolated from *pBmA.CAT* (Johnson et al., 1992; U.S. Patent No.

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08/608,617) and cloned into the unique BamHI site in *pIE1/153A* to generate *pIE1/153A.CAT*.

5 A 1.8 kb EcoRI fragment containing the JHE(kk) open reading frame was first isolated from *pAcUW21-KK* (Bonning and Hammock, 1996), NotI linkers were ligated to its ends, and it was inserted into the unique NotI site of *pIE1/153A* to generate the plasmid *pIE1/153A.JHE*.

The plasmid *pIE1/153A.JHE.HisEP.CAT* was generated in several steps.

10 (i) First 2 oligonucleotides [SEQ ID Nos: 1 and 2] were synthesized (5' to 3') coding for region II in Figure 2A:

- I. 5'- AAAGGATCCAATGCCACATCATCATCAT  
CATCATGGCGGCGGC -3'
- II. 5'- AAAACCATGGCCTGGGTCCTTGTCGTCGTC  
GTCGCCGCCGCC -3'

15 These oligonucleotides were annealed together, end-filled by mutually primed synthesis with Klenow enzyme, double digested with BamHI and NcoI, and ligated into *pBluescript-SK+* (Stratagene) to yield *pHisEP(NcoI)*.

20 (ii) Next two mutagenic primers [SEQ ID Nos: 3 and 4] (5' to 3') were synthesized in order to generate region III in Figure 2A:

- I. 5'- GGGCTACCATGGAGAAAAAATCACTGG -3'
- II. 5'- GGGTGCTCTAGAATTTCTGCCATTCATCC -3'

25 PCR amplification using *Pfu* polymerase from *pIE1/153A.CAT* plasmid DNA yielded a 0.8 kb product containing the CAT open reading frame that was double digested with NcoI and XbaI and ligated in-frame into the unique NcoI/XbaI sites of *pHisEP(NcoI)* to yield *pHisEP.CAT*.

(iii) The following two mutagenic primers [SEQ ID Nos: 5 and 6]

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(5' to 3') were synthesized to obtain region I in Figure 2a:

- I. 5'- AAAAGGATCCATGACTTCACACGTA CT CGC -3'
- II. 5'- AAAAGGATCCTTCAAGCGGGCTTCTACTG -3'

5 PCR amplification using *Pfu* polymerase from *pIE1/153A.JHE(kk)* plasmid DNA yielded a 1.6 kb product containing the JHE open reading frame (with no stop codon) that was partially digested with BamHI and ligated in-frame into the unique BamHI site of *pHisEP.CAT* to yield *pJHE.HisEP.CAT*.

10 (iv) A partial BamHI digestion and complete NotI digestion of *pJHE.HisEP.CAT* released a 2.5 kb fragment containing the complete secretion module (regions I, II, and III in Figure 2A) that was ligated into the unique BamHI/NotI sites of the expression plasmid *pIE1/153A* to yield *pIE1/153A.JHE.HisEP.CAT*.

15 Control DNA for the experimental demonstration of the secretion of CAT was the expression plasmid *pIE1/153A* ("mock DNA"); the expression plasmid with the complete CAT gene *pIE1/153A.CAT* ("CAT"), the expression plasmid with spacer plus the CAT gene ("spacer + CAT") and the expression plasmid with the JHE gene *pIE1/153A.JHE* ("JHE") in Figure 2B.

20 The various expression plasmids were transfected into *Bombyx mori* insect host cells in *in vitro* cultures. (Lu et al. 1996) Bm5 cells were maintained in IPL-41 (Gibco) + 10% fetal bovine serum. For transfection, cells were seeded into 35mm diameter dishes at a density of 10<sup>6</sup> cells/well, allowed to adhere, and transfected with 0.5 mL of basal media containing 3  
25 micrograms plasmid DNA and 15 microgram Lipofectin (Gibco) for 5 hours according to manufacturers instructions. Cells and supernatant were harvested for analysis 2 days following transfection.

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The extracellularly expressed CAT was detected by western blotting (Sambrook 1989) the culture supernatants, using an antibody recognizing CAT. Aliquots of cells or cell culture supernatants were resolved by electrophoresis in a SDS-containing 8% polyacrylamide gel (SDS-PAGE) and electroblotted onto Hybond-ECL membrane (Amersham). After transfer, the membrane was blocked for 1 hour at room temperature in 50 ml PBS-0.1% Tween-20 (PBST) containing 10% (w/v) skim milk powder (PBSTM). The filter was incubated for 1 hour at room temperature in 5 mL PBSTM containing rabbit polyclonal anti-CAT antibody (5 Prime-3 Prime, Inc., 1:1000 dilution), washed twice for 15 minutes with PBST, and incubated 1 hour with 5mL PBSTM containing horseradish peroxidase conjugated goat anti-rabbit IgG (Life Technologies; 1:1000 dilution). After washing twice with PBST, the filter was incubated with ECL chemiluminescent substrate (Amersham) according to the suppliers' instructions and exposed to X-ray film.

Figure 2B shows that no CAT was detected in either the supernatant of cells transfected with mock plasmid DNA or cells transfected with a plasmid expressing CAT or cells transfected with a plasmid expressing the spacer plus the CAT gene, or cells transfected with a plasmid expressing JHE. CAT was detected in the supernatant of cells transfected with plasmid *pIE1/153A.JHE.HisEP.CAT* ("secretion module"). Therefore, the naturally secreted protein JHE can be employed to drag a non-secretion competent polypeptide, such as CAT, into an extracellular environment.

#### Example 2. Liberation of the CAT peptide from the fusion protein

To demonstrate that the CAT protein could be liberated from the

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expressed fusion protein culture, supernatant from the culture described in Example 1 was dialyzed against enteropeptidase buffer, and incubated with porcine intestine enteropeptidase [ICN Biopharmaceuticals Canada Ltd.] for 36 hours at 37°C (Kell 1971). Figure 2C is a western blot of an enteropeptidase digested sample, using the anti-CAT antibody (5 Prime-3 Prime, Inc. 1:1000 dilution) which shows that some CAT was successfully liberated from the fusion protein.

### Example 3. Secretion of BmCF1

The intracellular protein *Bombyx mori* chorion factor 1 (BmCF1) is naturally found in the nucleus of some *Bombyx mori* insect cells. The module for secretion of BmCF1 is shown in Figure 3A.

A 3.8 kb NotI fragment of *pBmCFI* (Tzertziniz et al, 1994) containing the BmCF1 open reading frame was ligated into the unique NotI site of *pIE1/153A* to form *pIE1/153A.BmCF1*.

The plasmid *pIE1/153A.JHE.HisEP.BmCF1* was generated in several stages.

(i) First 2 oligonucleotides [SEQ ID Nos: 1 and 7] were synthesized (5' to 3') coding for region II in Figure 3A:

- I. 5'- AAAGGATCCA ATG CCA CAT CAT CAT CAT CAT CAT  
GGC GGC GGC -3'
- II. 5'- AAAAGC ATG CCC TGG GTC CTT GTC GTC GTC GTC  
GCC GCC GCC -3'

These oligonucleotides were annealed together, endfilled by mutually primed synthesis with Klenow enzyme, double digested with BamHI and SphI and ligated into *pBluescript-SK+* (Stratagene) to yield *pHisEP (SphI)*.

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(ii) The following 2 oligonucleotides [SEQ ID Nos: 8 and 9] were synthesized (5' to 3') to obtain region III in Figure 3A:

I. 5'- TGTGGGCATGCAGAGCGTGGCGAAG -3'

II. 5'- CGACATTCAAATCTAGAATAAGTCCCCCTAC -3'

5 PCR amplification using *Pfu* polymerase from *pBmCF1* plasmid DNA yielded a 1.5 kb product containing the BmCF1 open reading frame that was completely digested with XbaI and partially digested with SphI and ligated in-frame into the unique SphI/XbaI sites of *pHisEP (SphI)* to yield *pHisEP.BmCF1*.

10 (iii) The PCR product containing the JHE ORF (with no stop codon), described in Example 1, was ligated in-frame into the unique BamHI site of *pHisEP.BmCF1* to yield *pJHE.HisEP.BmCF1*.

15 (iv) A partial BamHI digestion and complete NotI digestion of *pJHE.HisEP.BmCF1* released a 2.6 kb fragment containing the complete secretion module (regions I, II and III in Figure 3A) that was ligated into the unique BamHI/NotI sites of *pIE1/153A* to yield *pIE1/153A.JHE.HisEP.BmCF1*.

20 Control DNA for the experimental demonstration of the secretion of BmCF1 was the expression plasmid *pIE1/153A* ("mock DNA"); the expression plasmid with the complete BmCF1 gene, *pIE1/153A.BmCF1* ("BmCF1"), and the expression plasmid with the complete JHE gene, *pIE1/153A.JHE* ("JHE") in Figure 3B.

25 Each plasmid was transfected into *Bombyx mori* insect host cells in *in vitro* cultures as set forth in Example 1. Intracellular and extracellular expressed BmCF1 was detected by western blotting using mouse monoclonal anti-BmCF1 (provided by Dr. F.C. Kafatos, Harvard University, Boston, Massachusetts; 1:100 dilution) and horse-radish

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peroxidase conjugate goat anti-mouse antibody [Life Technologies; 1:1000 dilution] by the methods set forth in Example 1.

The western blot, shown in Figure 3B reveals that the normally intracellular protein BmCF1 was only detected in the supernatant of cells transfected with the pIE1/153A.JHE.HisEP.BmCF1, ("secretion module") described in Figure 3A.

#### Example 4    Secretion of JHE-CAT from mammalian cells

To demonstrate that the secretion module can be used to secrete a non-secretion competent polypeptide from mammalian cells a mammalian expression vector was employed. The bacterial cytoplasmic protein CAT was used as an example of a non-secretion competent polypeptide.

Two vectors were constructed:

1)    The vector pcDNA3.1.CAT was constructed by isolating the 800 bp BamHI fragment from pBmA.CAT containing the CAT open reading frame and cloning it into the unique BamHI site of the mammalian expression plasmid pcDNA3.1+ (Invitrogen, San Diego, CA).

2)    The vector pcDNA3.1.JHE.HisEP.CAT was constructed as follows. A partial BamHI digestion and complete NotI digestion of pJHE.HisEP.CAT released a 2.5 kbp fragment containing the complete secretion module (regions I, II and III in Figure 2A) that was ligated into the unique BamHI/NotI sites of the mammalian expression plasmid pcDNA3.1+ (Invitrogen, San Diego CA) to yield pcDNA3.1.JHE.HisEP.CAT.



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These vectors are used for the transfection of the mammalian cell line BHK-21, derived from baby hamster kidney cells in *in vitro* cell cultures. BHK-21 cells are maintained in DMEM (Gibco-BRL) plus 10% fetal bovine serum. The vectors are transfected into the BHK-21 cells by the method set forth in Example 1, except the cell density is  $0.5 \times 10^6$  cells/mL.

Following transfection with the plasmids pcDNA3.1+, pcDNA3.1.CAT and pcDNA.JHE.HisEP.CAT a Western blot will reveal that the JHE-CAT fusion protein is secreted into the culture supernatant, while the CAT protein is not. This demonstrates that the naturally secreted JHE protein can be employed to secrete non-secretion competent polypeptides, such as CAT, into an extracellular environment.

Example 5 Secretion of Bacterial Chloramphenicol Acetyl-transferase  
Using Human Granulocyte Macrophage Colony Stimulating  
Factor

The human granulocyte macrophage colony stimulating factor (GMCSF) gene was also used for the secretion of CAT.

Figure 5 is a schematic illustration of the DNA encoding the fusion protein. At the 5' end it contains the complete cDNA coding for human granulocyte macrophage colony stimulating factor which can be secreted from animal cell hosts. It then contains a spacer region described in Example 1 and the DNA sequence coding for CAT linked in frame. The sequence of human GMCSF is shown in Figure 6. The normal start codon (ATG) and stop codon (TGA) are highlighted in bold.

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The vector *pIE1/153A.GMCSF.HisEP.CAT* for Example 4 was constructed in several steps.

(i) First the vector *pIE1/153A.JHE.HisEP.CAT* was digested with BamHI to release the DNA coding for juvenile hormone esterase and yield an open vector *pIE1/153A.HisEP.CAT* (BamHI sticky ends).

(ii) Next two mutagenic primers [SEQ ID Nos: 10 and 11] (5' to 3') were synthesized:

- I. 5'- GAAGGATCCGATGTGGCTGCAGAGCC -3'
- II. 5'- CAAGGATCCCTCCTGGACTGGCTCCC-3'

PCR amplification using *Pfu* polymerase from *pGMCSF* (containing the complete GMCSF cDNA and provided by Dr Chris Brown, University of Calgary) plasmid DNA yielded a 450 bp product containing the complete human granulocyte macrophage colony stimulating factor (GMCSF) open reading frame (with no stop codon) that was digested with BamHI. This fragment was ligated into the open vector *pIE1/153A.HisEP.CAT* (BamHI sticky ends) to yield the vector *pIE1/153A.GMCSF.HisEP.CAT*.

The expression plasmids *pIE1/153A* (control), *pIE1/153A.CAT* and *pIE1/153A.GMCSF.HisEP.CAT* were transfected into Bm5 *Bombyx mori* insect host cells in *in vitro* cultures as set forth in Example 1. Intracellular and extracellular CAT was detected by western blotting as set forth in Example 1. The western blot, shown in Figure 7 reveals that significantly more CAT present as a GMCSF-CAT fusion protein (over 100 fold as determined by densitometric scanning) was detected in the supernatant of cells transfected with the plasmid *pIE1/153A.GMCSF.HisEP.CAT* than the supernatant of cells transfected with the plasmid *pIE1/153A.HisEP.CAT*.

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While the present invention has been described with reference to what are considered to be the preferred examples, it is to be understood that the invention is not limited to the disclosed examples. To the contrary, the invention is intended to cover various modifications and equivalent arrangements included within the spirit and scope of the appended claims.

## REFERENCES

All publications, patents and patent applications are herein incorporated by reference in their entirety to the same extent as if each individual publication, patent or patent application was specifically and individually indicated to be incorporated by reference in its entirety.

U.S. Patent Application No. 08/608,617

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## WHAT IS CLAIMED IS:

1. An expression cassette useful for the secretion of a heterologous protein from insect cells as a fusion protein comprising a polynucleotide encoding from its 5' to 3' direction:

- 5 a) a promoter;  
b) a signal peptide;  
c) an insect cell secretion competent polypeptide; and  
d) a heterologous protein wherein the polynucleotide sequences encoding (b) (c) and (d) are linked in frame and wherein the insect cell secretion  
10 competent polypeptide is not an immunoglobulin Fc region.

2. The expression cassette of Claim 1 wherein the promoter sequence is selected from the group consisting of a viral promoter sequence, an insect cellular promoter sequence or a mammalian promoter sequence.

3. The expression cassette of Claim 1 further comprising a  
15 polynucleotide sequence encoding an enhancer functionally linked to the promoter.

4. The expression cassette of Claim 3, wherein the enhancer is a viral enhancer.

5. The expression cassette of Claim 1 wherein the sequence encoding  
20 the secretion competent polypeptide sequence is linked in frame to the sequence encoding the heterologous protein by a sequence encoding a linker peptide.

6. The expression cassette of Claim 1 wherein the secretion competent polypeptide is selected from the group consisting of insect juvenile hormone

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esterase, human granulocyte macrophage colony stimulating factor, human interleukin-4, mouse interleukin-4, tissue plasminogen activator, transferrin, gamma interferon, transforming growth factor beta, epidermal growth factor, insect adipokinetic hormone precursor, insulin-like growth factor 1, stem cell factor, leptin, human growth hormone, erythropoietin, interleukin-5, interleukin-6, tumor necrosis factor alpha, tissue inhibitor of metalloproteases-1, secreted alkaline phosphatase, soluble isoforms of the alpha subunit of the granulocyte macrophage colony stimulating factor receptor, and soluble isoforms of the beta subunit of the granulocyte macrophage colony stimulating factor receptor.

7. The expression cassette of Claim 6 wherein the secretion competent polypeptide is selected from the group consisting of insect juvenile hormone esterase and human granulocyte macrophage colony stimulating factor.

8. A vector useful for the secretion of a heterologous protein from eukaryotic cells comprising an expression cassette comprising a polynucleotide encoding from its 5' to 3' direction:

a) a promoter;

b) a signal peptide;

c) an insect cell secretion competent polypeptide; and

d) a heterologous protein wherein the polynucleotide sequences encoding (b) (c) and (d) are linked in frame and wherein the insect cell secretion competent polypeptide is not an immunoglobulin Fc region.

9. The vector of Claim 8 wherein the promoter is selected from the group consisting of a viral promoter, an insect cellular promoter or a mammalian promoter.

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10. The vector of Claim 8 further comprising a DNA sequence encoding an enhancer functionally linked to the promoter.

11. The vector of Claim 10, wherein the enhancer is a viral enhancer.

12. The vector of Claim 8, further comprising a selectable marker gene.

5 13. An insect cell transformed with the expression cassette of Claim 1.

14. The cell of Claim 13 wherein the insect cell is from *Bombyx mori*.

15. A method of secreting a heterologous protein, comprising introducing into an insect cell an expression cassette comprising a polynucleotide encoding from its 5' to 3' direction:

- 10 a) a promoter;  
b) a signal peptide;  
c) an insect cell secretion competent polypeptide; and  
d) a heterologous protein wherein the polynucleotide sequences encoding (b) (c) and (d) are linked in frame under conditions wherein the heterologous  
15 protein is expressed and secreted from the insect cell.

16. The method of Claim 15 wherein the promoter is selected from the group consisting of a viral promoter, an insect cellular promoter or a mammalian promoter.

20 17. The method of Claim 15 wherein the expression cassette further comprises a DNA sequence encoding an enhancer functionally linked to the promoter.

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18. The method of Claim 17, wherein the enhancer is a viral enhancer.

19. The method of Claim 15 wherein the the sequence encoding the secretion competent polypeptide sequence is linked in frame to the sequence encoding the heterologous protein by a sequence encoding a linker peptide.

5 20. The method of Claim 15 wherein the secretion competent polypeptide is selected from the group consisting of insect juvenile hormone esterase, human granulocyte macrophage colony stimulating factor, human interleukin-4, mouse interleukin-4, tissue plasminogen activator, 10 transferrin, gamma interferon, transforming growth factor beta, epidermal growth factor, insect adipokinetic hormone precursor, insulin-like growth factor 1, stem cell factor, leptin, human growth hormone, erythropoietin, interleukin-5, interleukin-6, tumor necrosis factor alpha, tissue inhibitor of metalloproteases-1, secreted alkaline phosphatase, soluble isoforms of the alpha subunit of the granulocyte macrophage colony stimulating factor 15 receptor, and soluble isoforms of the beta subunit of the granulocyte macrophage colony stimulating factor receptor.

21. The method of Claim 20 wherein the secretion competent polypeptide is selected from the group consisting of insect juvenile hormone esterase and human granulocyte macrophage colony stimulating factor.

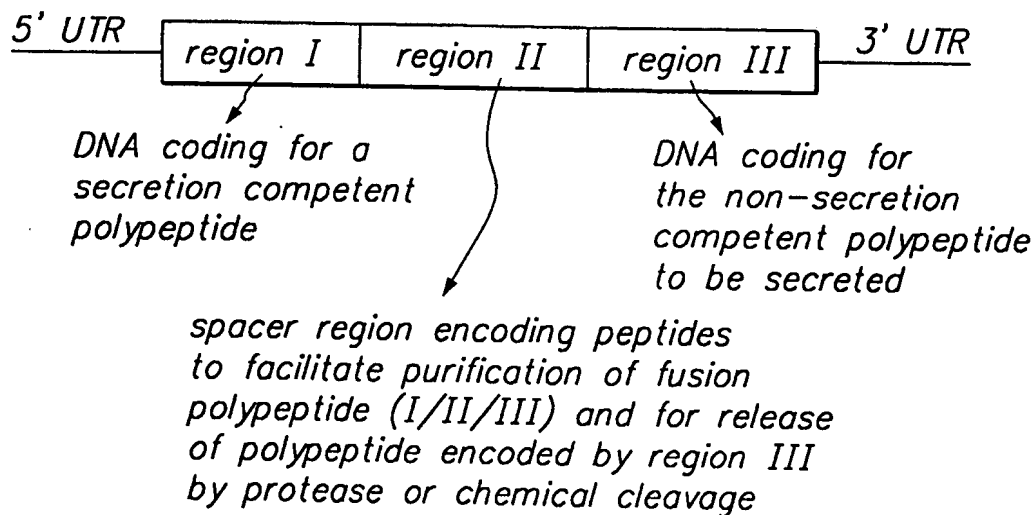
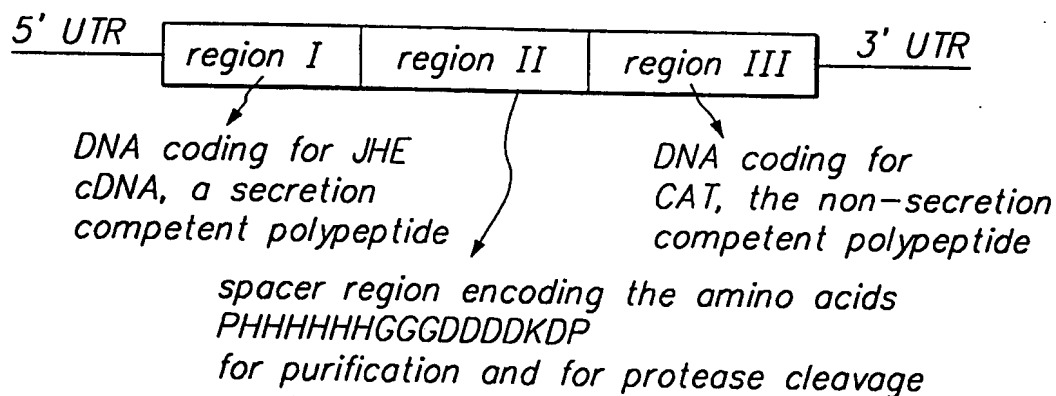
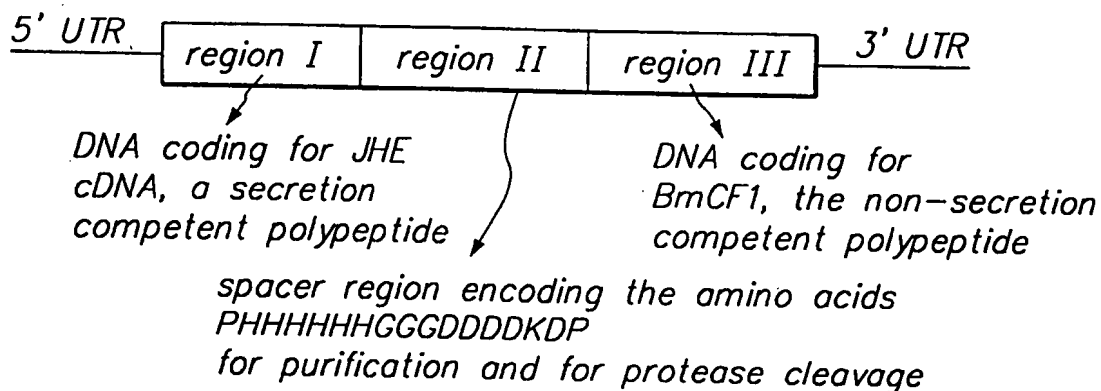
20 22. A method of secreting a heterologous protein from mammalian cells, comprising introducing into an mammalian cell an expression cassette comprising a polynucleotide encoding from its 5' to 3' direction: a) a promoter b) a signal peptide; c) a secretion competent polypeptide selected from the group consisting of juvenile hormone esterase or human 25 granulocyte macrophage colony stimulating factor; and d) a heterologous

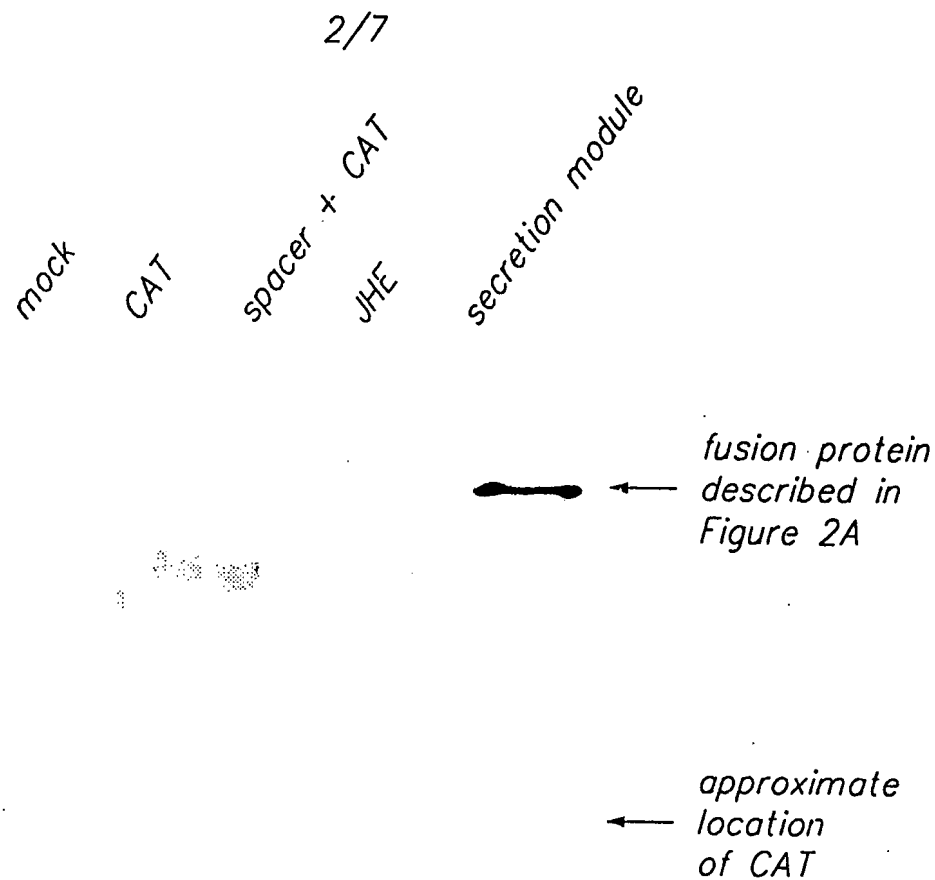


-39-

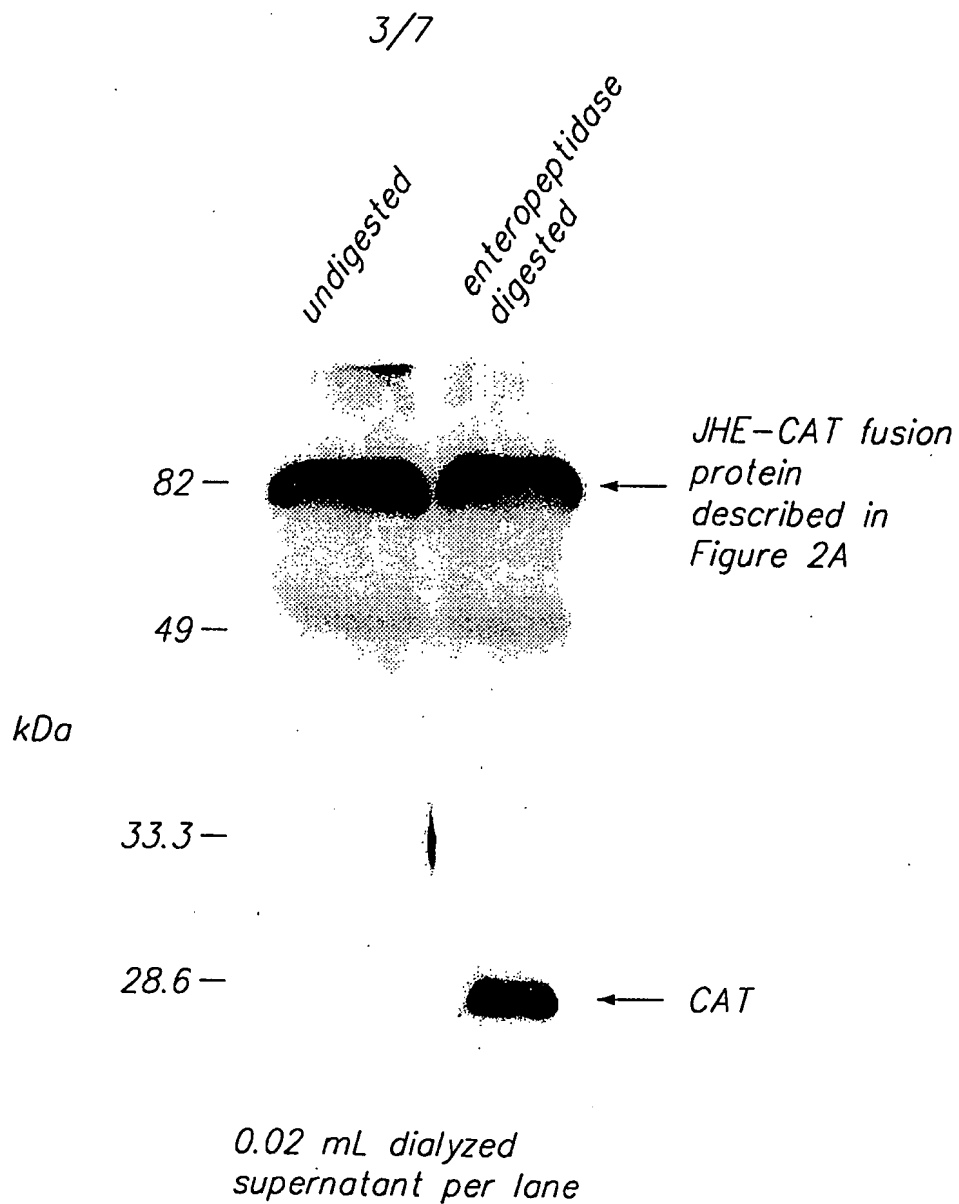
protein wherein the polynucleotide sequences encoding (b) (c) and (d) are linked in frame under conditions wherein the heterologous protein is expressed and secreted from the mammalian cell.

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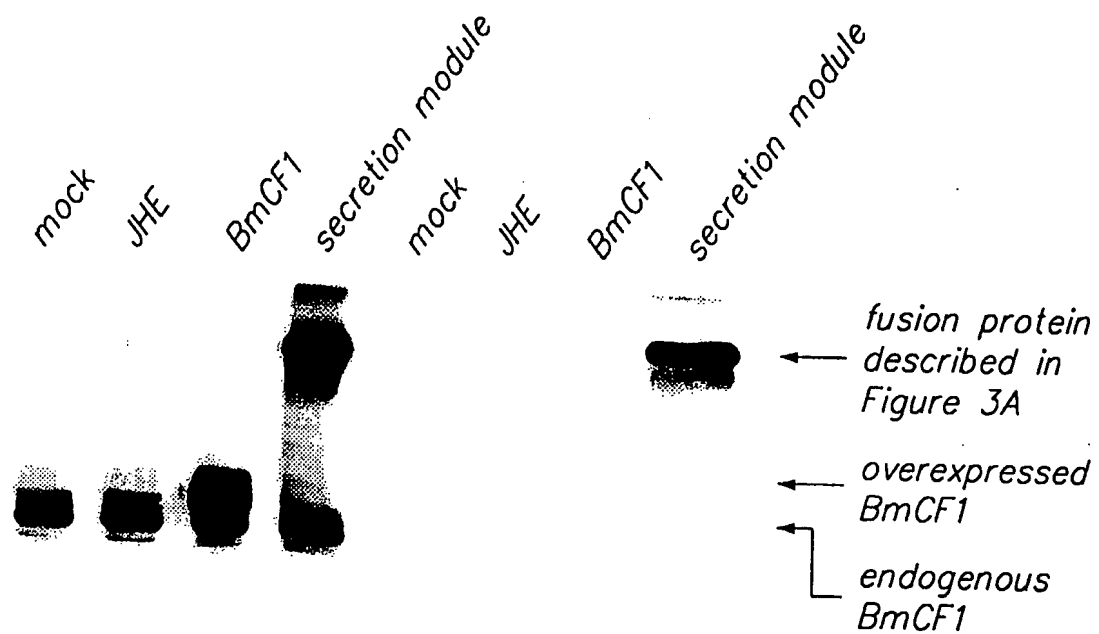
**FIG. 1****FIG. 2A****FIG. 3A**



**FIG. 2B**

**FIG. 2C**

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50,000 cells  
per lane

0.02 mL transfection  
supernatant per lane

**FIG. 3B**

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19 A TGA CTT CACA CGT ACT CGCG CTC GCCTTCC  
51 TTCTACACGC GTGCACAGCG CTGGCGTGGC AGGAGACAAA TTCGCGCAGC  
101 GTGGTCGCCC ATCTGGACTC CGGCATTATA CGCGGCGTGC CGCGCTCAGC  
151 GGATGGCATC AAGTTCGCCA GCTTCCTAGG AGTGCCCTAC GCTAAGCAGC  
201 CTGTTGGAGA ACTCAGGTTT AAGGAGCTCG AGCCTCTAGA ACCTTGGGAT  
251 AATATCCTGA ACGCAACAAA TGAAGGACCC ATCTGCTTCC AAACAGATGT  
301 ATTATACGGG AGGCTCATGG CGGCAAGCGA GATGAGCGAG GCTTG CATAT  
351 ACGCCAACAT TCATGTTCCA TGGCAAAGCC TTCCCCGAGT GAGGGGGACC  
401 ACACCTTTAC GGCCTATCCT GGTGTT CATA CATGGTGGAG GATTTGCTTT  
451 CGGCTCCGGC CACGAGGACC TACACGGACC AGAATATTG GTC ACTAAGA  
501 ATGTCATCGT CATCACGTTT AATTACAGAT TGAACGTCTT CGGTTTCCTG  
551 TCCATGAACA CAACAAAAAT CCCC GGGAAT GCCGGTCTCC GGGATCAGGT  
601 AACCCTGTTG CGCTGGGTGC AAAGGAACGC CAAGAATTTT GGAGGAGACC  
651 CCAGCGACAT CACCATAGCG GGGCAGAGCG CTGGTGCATC AGCTGCGCAT  
701 CTA CTGACTC TTTCTAAAGC TACTGAAGGT CTTTTCAAAA GAGCGATTCT  
751 GATGAGCGGA ACAGGAATGA GCTACTTCTT TACTACTTCT CCACTTTTCG  
801 CGGCCTACAT TTCGAAACAG TTGTTGCAAA TCCTGGGCAA TCAACGAGAC  
851 GGATCCGAAG AAATACATCG GCAGCTCATC GACCTACCCG CAGAGAAACT  
901 GAACGAGGCT AACGCCGTCC TGATTGAACA AATTGGCCTG ACAACCTTCC  
951 TCCCTATTGT GGAATCCCCA CTACCTGGAG TAACAACCAT TATTGACGAT  
1001 GATCCAGAAA TCTTAATAGC CGAAGGACGC GGCAAGAATG TTCCACTTTT  
1051 AATAGGATTT ACCAGCTCAG AATGCGAGAC TTTCCGCAAT CGACTATTGA  
1101 ACTTTGATCT CGTCAAAAAG ATTCAGGACA ATCCTACGAT CATAATACCG  
1151 CCTAAACTGT TATTTATGAC TCCACCAGAG CTGTTGATGG AATTAGCAAA  
1201 GACTATCGAG AGAAAGTACT ACAACGGTAC AATAAGTATC GATAACTTCG  
1251 TAAAATCATG TTCAGATGGC TTCTATGAAT ACCCTGCATT GAAACTGGCG  
1301 CAAAAACGTG CCGAAACTGG TGGAGCTCCA CTGTACTTGT ACCGGTTTCG  
1351 GTACGAGGGT CAGAACAGCA TCATCAAGAA GGTAATGGGG CTGAACCAGC  
1401 AGGGTGTCGG CCACATTGAG GACTTAACCT ATGTGTTTAA GGTCAACTCT  
1451 ATGTCCGAAG CTCTGCACGC ATCGCCTTCT GAGAATGATG TGAAAATGAA  
1501 GAATCTAATG ACGGGCTATT TCTTAAATTT TATAAAGTGC AGTCAACCGA  
1551 CATGCGAAGA CAATAACTCA TTGGAGGTGT GGCCGGCTAA CAACGGCATG  
1601 CAATACGAGG ACATTGTGTC TCCACCATC ATCAGATCCA AGGAGTTTCG  
1651 CTCCAGACAA CAAGACATTA TCGAGTTCTT CGACAGCTTC ACCAGTAGAA  
1701 GCCCGCTTGA

**FIG. 4**

SUBSTITUTE SHEET (RULE 26)

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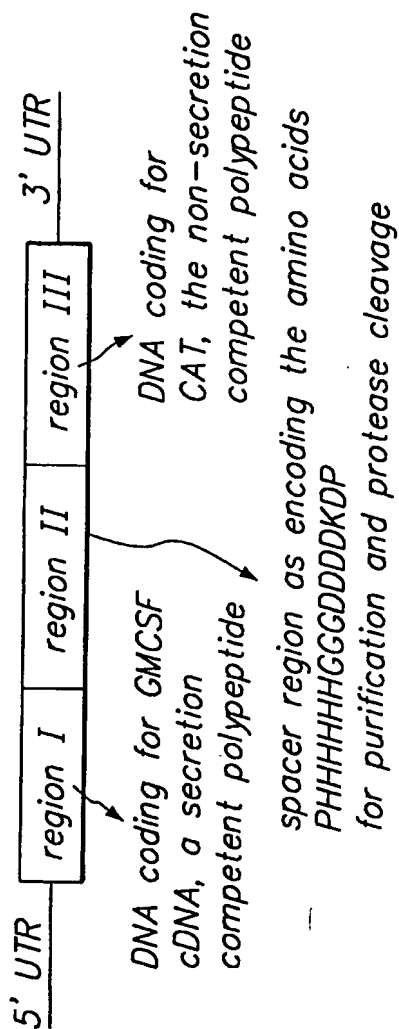


FIG. 5

## GMCSF cDNA Sequence

ATGTGGCT GCAGAGCCTG CTGCTCTTGG GCACTGTGGC CTGCAGCATC TCTGCACCCG  
 CCGCTCGCC CAGCCCCAGC ACGCAGCCCT GGGAGCATGT GAATGCCATC CAGGAGGCCC  
 GCGCTCTCT GAACCTGAGT AGAGACACTG CTGCTGAGAT GAATGAAACA GTAGAAGTCA  
 TCTCAGAAAT GTTTGACCTC CAGGAGCCGA CCTGCCCTACA GACCCGCCCTG GAGCTGTACA  
 AGCAGGGCCT GCGGGGCAGC CTCACCAAGC TCAAGGGCCC CTTGACCATG ATGGCCAGCC  
 ACTACAAGCA GCACCTGCCCT CCAACCCCGG AAACCTTCCTG TGCAACCCAG ATTATCACCT  
 TTGAAAGTTT CAAAGAGAAC CTGAAGGACT TTCTGCTTGT CATCCCTTT GACTGCTGGG  
 AGCCAGTCCA GGAGTGA

FIG. 6

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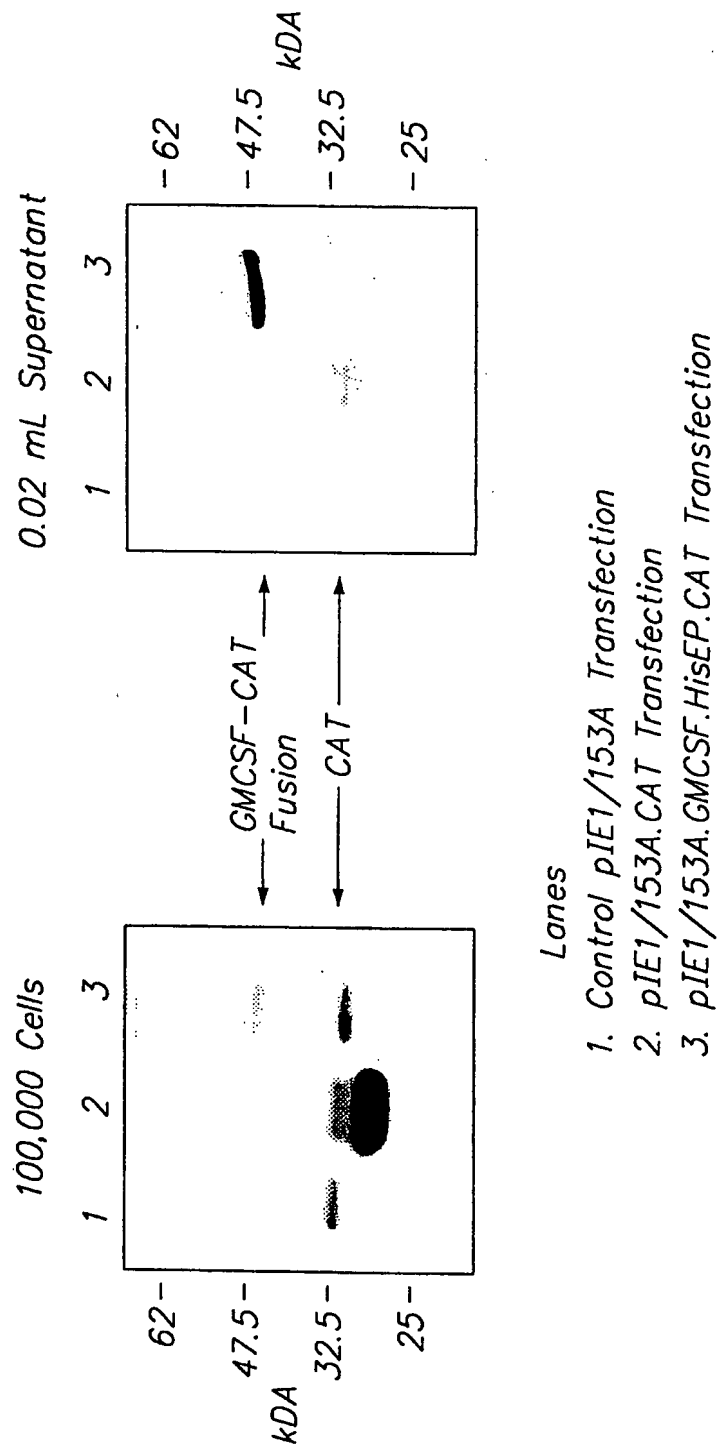


FIG. 7



## SEQUENCE LISTING

## (1) GENERAL INFORMATION

- (i) APPLICANT:
  - (A) NAME: University Technologies International Inc.
  - (B) STREET: 609 - 14<sup>th</sup> Street
  - (C) CITY: Calgary
  - (D) STATE: Alberta
  - (E) COUNTRY: Canada
  - (F) POSTAL CODE (ZIP): T2N 2A1
- (ii) TITLE OF INVENTION: SEQUENCES FOR IMPROVING THE EFFICIENCY OF  
SECRETION OF NON-SECRETED PROTEINS FROM  
MAMMALIAN AND INSECT CELLS
- (iii) NUMBER OF SEQUENCES: 14
- (iv) CORRESPONDENCE ADDRESS:
  - (A) NAME: Marks & Clerk
  - (B) STREET: 55 Metcalfe Street, Suite 1380
  - (C) CITY: Ottawa
  - (D) STATE: Ontario
  - (E) COUNTRY: Canada
  - (F) POSTAL CODE (ZIP): K1P 6L5
  - (G) TELEPHONE: 613-236-9561
- (v) COMPUTER READABLE FORM:
  - (A) MEDIUM TYPE: Diskette, 3.5 inch, 1.44 MB
  - (B) COMPUTER: IBM PC
  - (C) OPERATING SYSTEM: Dos 5.0
  - (D) SOFTWARE: PatentIn Ver. 2.0
- (vi) CURRENT APPLICATION DATA:
  - (A) APPLICATION NUMBER:
  - (B) FILING DATE:
  - (C) CLASSIFICATION:
- (vii) PRIOR APPLICATION DATA:
  - (A) APPLICATION NUMBER: 09/256,694
  - (B) FILING DATE: 1999-02-24
  - (C) CLASSIFICATION: Unknown
- (vii) PRIOR APPLICATION DATA:
  - (A) APPLICATION NUMBER: 09/136,421
  - (B) FILING DATE: 1998-08-20
  - (C) CLASSIFICATION: Unknown
- (vii) PRIOR APPLICATION DATA:
  - (A) APPLICATION NUMBER: 60/056,871
  - (B) FILING DATE: 1997-0-21
  - (C) CLASSIFICATION: Unknown
- (viii) PATENT AGENT INFORMATION:
  - (A) NAME: Richard J. Mitchell
  - (B) REGISTRATION NUMBER:
  - (C) REFERENCE/DOCKET NUMBER: 98552-PCT
- (iv) TELECOMMUNICATION INFORMATION:
  - (A) TELEPHONE: 613-236-9561
  - (B) TELFAX: 613-230-8821

## (2) INFORMATION FOR SEQ ID NO:1:

## (i) SEQUENCE CHARACTERISTICS:

(A) LENGTH: 43

(B) TYPE: DNA

(C) TOPOLOGY: Artificial Sequence

## (ix) FEATURE:

(A) OTHER INFORMATION: Encodes a portion of SEQ ID No.: 12

## (xi) SEQUENCE DESCRIPTION: SEQ ID NO:1:

aaaggatcca atgccacatc atcatcatca tcatggcggc ggc 43

## (2) INFORMATION FOR SEQ ID NO:2:

## (i) SEQUENCE CHARACTERISTICS:

(A) LENGTH: 42

(B) TYPE: DNA

(C) TOPOLOGY: Artificial Sequence

## (ix) FEATURE:

(A) OTHER INFORMATION: Encodes a portion of SEQ ID No.: 12

## (xi) SEQUENCE DESCRIPTION: SEQ ID NO:2:

aaaaccatgg cctgggtcct tgcgctcgtc gtcgccgccg cc 42

## (2) INFORMATION FOR SEQ ID NO:3:

## (i) SEQUENCE CHARACTERISTICS:

(A) LENGTH: 28

(B) TYPE: DNA

(C) TOPOLOGY: Artificial Sequence

## (ix) FEATURE:

(A) OTHER INFORMATION: Primer for PCR amplification

## (xi) SEQUENCE DESCRIPTION: SEQ ID NO:3:

gggctacat ggagaaaaaa atcactgg 28

## (2) INFORMATION FOR SEQ ID NO:4:

## (i) SEQUENCE CHARACTERISTICS:

(A) LENGTH: 29

(B) TYPE: DNA

(C) TOPOLOGY: Artificial Sequence

## (ix) FEATURE:

(A) OTHER INFORMATION: Primer for PCR amplification

## (xi) SEQUENCE DESCRIPTION: SEQ ID NO:4:

gggtgctcta gaatttctgc cattcatcc 29

## (2) INFORMATION FOR SEQ ID NO:5:

## (i) SEQUENCE CHARACTERISTICS:

(A) LENGTH: 30

(B) TYPE: DNA  
(C) TOPOLOGY: Artificial Sequence

(ix) FEATURE:  
(A) OTHER INFORMATION: Primer for PCR amplification

(xi) SEQUENCE DESCRIPTION: SEQ ID NO:5:

aaaaggatcc atgacttcac acgtactcgc 30

(2) INFORMATION FOR SEQ ID NO:6:

(i) SEQUENCE CHARACTERISTICS:  
(A) LENGTH: 29  
(B) TYPE: DNA  
(C) TOPOLOGY: Artificial Sequence

(ix) FEATURE:  
(A) OTHER INFORMATION: Primer for PCR amplification

(xi) SEQUENCE DESCRIPTION: SEQ ID NO:6:

aaaaggatcc ttcaagcggg cttctactg 29

(2) INFORMATION FOR SEQ ID NO:7:

(i) SEQUENCE CHARACTERISTICS:  
(A) LENGTH: 42  
(B) TYPE: DNA  
(C) TOPOLOGY: Artificial Sequence

(ix) FEATURE:  
(A) OTHER INFORMATION: Encodes a portion for SEQ ID NO.: 12

(xi) SEQUENCE DESCRIPTION: SEQ ID NO:7:

aaaagcatgc cctgggtcct tgcgctcgtc gtcgccgccg cc 42

(2) INFORMATION FOR SEQ ID NO:8:

(i) SEQUENCE CHARACTERISTICS:  
(A) LENGTH: 25  
(B) TYPE: DNA  
(C) TOPOLOGY: Artificial Sequence

(ix) FEATURE:  
(A) OTHER INFORMATION: Primer for PCR amplification

(xi) SEQUENCE DESCRIPTION: SEQ ID NO:8:

tgtgggcatg cagacgtgg cgaag 25

(2) INFORMATION FOR SEQ ID NO:9:

(i) SEQUENCE CHARACTERISTICS:  
(A) LENGTH: 31  
(B) TYPE: DNA  
(C) TOPOLOGY: Artificial Sequence

(ix) FEATURE:

(A) OTHER INFORMATION: Primer for PCR amplification

(xi) SEQUENCE DESCRIPTION: SEQ ID NO:9:

cgacattcaa atctagaata agtcccccta c

31

(2) INFORMATION FOR SEQ ID NO:10:

(i) SEQUENCE CHARACTERISTICS:

(A) LENGTH: 26

(B) TYPE: DNA

(C) TOPOLOGY: Artificial Sequence

(ix) FEATURE:

(A) OTHER INFORMATION: Primer for PCR amplification

(xi) SEQUENCE DESCRIPTION: SEQ ID NO:10:

gaaggatccg atgtggctgc agagcc

26

(2) INFORMATION FOR SEQ ID NO:11:

(i) SEQUENCE CHARACTERISTICS:

(A) LENGTH: 26

(B) TYPE: DNA

(C) TOPOLOGY: Artificial Sequence

(ix) FEATURE:

(A) OTHER INFORMATION: Primer for PCR amplification

(xi) SEQUENCE DESCRIPTION: SEQ ID NO:11:

caaggatccc tcctggactg gctccc

26

(2) INFORMATION FOR SEQ ID NO:12:

(i) SEQUENCE CHARACTERISTICS:

(A) LENGTH: 17

(B) TYPE: PRT

(C) TOPOLOGY: Artificial Sequence

(ix) FEATURE:

(A) OTHER INFORMATION: Has a cleavage site recognized by the  
protease porcine intestine  
enteropeptidase

(xi) SEQUENCE DESCRIPTION: SEQ ID NO:12:

Pro His His His His His Gly Gly Gly Asp Asp Asp Asp Lys Asp  
1 5 10 15

Pro

(2) INFORMATION FOR SEQ ID NO:13:

(i) SEQUENCE CHARACTERISTICS:

(A) LENGTH: 1691

(B) TYPE: DNA

(C) TOPOLOGY: Heliothis virescens

## (xi) SEQUENCE DESCRIPTION: SEQ ID NO:13:

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atgacttcac acgtactcgc gctcgccttc cttctacacg cgtgcacagc gctggcgctg 60
caggagacaa attcgcgcag cgtggtcgcc catctggact ccggcattat acgcggcgtg 120
ccgcgctcag cggatggcat caagttcgcc agcttcctag gagtgcccta cgctaagcag 180
cctgttggag aactcaggtt taaggagctc gagcctctag aaccttgga taatatacctg 240
aacgcaacaa atgaaggacc catctgcttc caaacagatg tattatacgg gaggtcatg 300
gcggcaagcg agatgagcga ggcttgcata tacgccaaac ttcattgttcc atggcaaagc 360
cttccccgag tgagggggac cacaccttta cggcctatcc tgggtgttcat acatgggtgga 420
ggatttgctt tcggctccgg ccacgaggac ctacacggac cagaatattt ggtcactaag 480
aatgtcatcg tcatcacgtt taattacaga ttgaacgtct tcggtttcct gtccatgaac 540
acaacaaaaa tccccgggaa tgccggtctc cgggatcagg taaccctgtt gcgctgggtg 600
caaagggaacg ccaagaattt cggaggagac ccgagcgaca tcaccatagc ggggcagagc 660
gctggtgcat cagctgcgca tctactgact ctttctaaag ctactgaagg tcttttcaaa 720
agagcgattc tgatgagcgg aacaggaatg agctacttct ttactacttc tccacttttc 780
gcggcctaca tttcgaaaca gttgttgcaa atcctgggca atcaacgaga cggatccgaa 840
gaaatacatc ggcagctcat cgacctacc gcagagaaac tgaacgaggc taacgccgtc 900
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ttcttaaat ttataaagt cagtcaaccg acatgcgaag acaataactc attggaggtg 1560
tgcccggtca acaacggcat gcaatacgag gacattgtgt ctcccaccat catcagatcc 1620
aaggagttcg cctccagaca acaagacatt atcgagttct tcgacagctt caccagtaga 1680
agcccgcttg a                                     1691

```

## (2) INFORMATION FOR SEQ ID NO:14:

## (i) SEQUENCE CHARACTERISTICS:

(A) LENGTH: 435

(B) TYPE: DNA

(C) TOPOLOGY: Human

## (xi) SEQUENCE DESCRIPTION: SEQ ID NO:14:

```

atgtggctgc agagcctgct gctcttgggc actgtggcct gcagcatctc tgcacccgcc 60
cgctcgccca gccccagcac gcagccctgg gagcatgtga atgccatcca ggaggcccg 120
cgtctcctga acctgagtag agacactgct gctgagatga atgaaacagt agaagtcatac 180
tcagaaatgt ttgacctcca ggagccgacc tgcctacaga ccgcctgga gctgtacaag 240
cagggcctgc ggggcagcct caccaagctc aagggccct tgaccatgat ggccagccac 300
tacaagcagc actgccctcc aaccccgga acttctgtg caaccagat tatcacctt 360
gaaagtttca aagagaacct gaaggacttt ctgcttgta tcccccttga ctgctgggag 420
ccagtccagg agtga                                     435

```

# INTERNATIONAL SEARCH REPORT

International Application No

PCT/CA 00/00188

## A. CLASSIFICATION OF SUBJECT MATTER

IPC 7 C12N15/85 C12N15/62 C12N5/10 C07K14/435 C07K14/535  
C12N9/18

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 7 C12N C07K

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

EPO-Internal

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	DIFALCO M R ET AL: "The influence of various insect cell lines, p10 and polyhedrin promoters in the production of secreted insulin-like growth factor-interleukin-3 chimeras in the baculovirus expression system" JOURNAL OF BIOTECHNOLOGY, NL, ELSEVIER SCIENCE PUBLISHERS, AMSTERDAM, vol. 56, no. 1, 23 July 1997 (1997-07-23), pages 49-56, XP004126077 ISSN: 0168-1656 figure 1 --- -/--	1-5,8-19

☒ Further documents are listed in the continuation of box C.

☒ Patent family members are listed in annex.

\* Special categories of cited documents :

"A" document defining the general state of the art which is not considered to be of particular relevance

"E" earlier document but published on or after the international filing date

"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)

"O" document referring to an oral disclosure, use, exhibition or other means

"P" document published prior to the international filing date but later than the priority date claimed

"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.

"&" document member of the same patent family

Date of the actual completion of the international search

1 August 2000

Date of mailing of the international search report

08. 11. 00

Name and mailing address of the ISA

European Patent Office, P.B. 5818 Patentlaan 2  
NL - 2280 HV Rijswijk  
Tel. (+31-70) 340-2040, Tx. 31 651 epo nl,  
Fax: (+31-70) 340-3016

Authorized officer

Lonnoy, 0

## INTERNATIONAL SEARCH REPORT

International Application No

PCT/CA 00/00188

C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT		
Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	BEI R ET AL: "Baculovirus expression of a functional single-chain immunoglobulin and its IL-2 fusion protein" J IMMUNOL METHODS, vol. 186, no. 2, 26 October 1995 (1995-10-26), pages 245-255, XP000929576 figure 1F ---	1-5,8-19
X	EP 0 326 419 A (US AGRICULTURE ;CODON (US)) 2 August 1989 (1989-08-02) example 6 ---	1-5,8-19
X	FARRELL P ET AL: "High-level expression of secreted glycoproteins in transformed lepidopteran insect cells using a novel expression vector" BIOTECHNOLOGY AND BIOENGINEERING, vol. 60, no. 6, 20 December 1998 (1998-12-20), pages 656-663, XP002143887 figures 1,3,5; table 1 ---	1-22
X	WO 94 00585 A (UNIV TECHNOLOGIES INT) 6 January 1994 (1994-01-06) claim 6 ---	1-11, 13-21
P,X	WO 99 10489 A (UNIV TECHNOLOGIES INT) 4 March 1999 (1999-03-04) the whole document ---	1-22
A	J W MARTENS ET AL: "Characterization of baculovirus insecticides expressing tailored Bacillus thurigiensis CryIA(b) crystal proteins" JOURNAL OF INVERTEBRATE PATHOLOGY,US,SAN DIEGO, CA, vol. 66, no. 3, 1 November 1995 (1995-11-01), pages 249-257, XP002089682 ISSN: 0022-2011 cited in the application figures 1,2 ---	6,7, 20-22
A	EP 0 608 696 A (AMERICAN CYANAMID CO) 3 August 1994 (1994-08-03) claims 1,6,12 ---	6,7, 20-22
A	LO K-M ET AL: "High level expression and secretion of Fc-X fusion proteins in mammalian cells" PROTEIN ENGINEERING, vol. 11, no. 6, 1998, pages 495-500, XP002143888 abstract ---	1,8,15, 22
	---	
	-/--	

# INTERNATIONAL SEARCH REPORT

International Application No

PCT/CA 00/00188

## C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	<p>EP 0 646 646 A (SQUIBB BRISTOL MYERS CO)  5 April 1995 (1995-04-05)  -----</p>	



# INTERNATIONAL SEARCH REPORT

International application No.  
PCT/CA 00/00188

## Box I Observations where certain claims were found unsearchable (Continuation of Item 1 of first sheet)

This International Search Report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1. ☒ Claims Nos.:  
because they relate to subject matter not required to be searched by this Authority, namely:  
  
Although claim 22, in as far as it relates to an in vivo method, is directed to a method of treatment of the human/animal body, the search has been carried out and based on the alleged effects of the compound/composition.
2. ☐ Claims Nos.:  
because they relate to parts of the International Application that do not comply with the prescribed requirements to such an extent that no meaningful International Search can be carried out, specifically:
3. ☐ Claims Nos.:  
because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

## Box II Observations where unity of invention is lacking (Continuation of item 2 of first sheet)

This International Searching Authority found multiple inventions in this international application, as follows:

see additional sheet

1. ☐ As all required additional search fees were timely paid by the applicant, this International Search Report covers all searchable claims.
2. ☐ As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
3. ☐ As only some of the required additional search fees were timely paid by the applicant, this International Search Report covers only those claims for which fees were paid, specifically claims Nos.:
4. ☒ No required additional search fees were timely paid by the applicant. Consequently, this International Search Report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:

Claims 1-22 (all Partially as applicable)

Remark on Protest

- ☐ The additional search fees were accompanied by the applicant's protest.
- ☐ No protest accompanied the payment of additional search fees.

FURTHER INFORMATION CONTINUED FROM PCT/ISA/ 210

This International Searching Authority found multiple (groups of) inventions in this international application, as follows:

1. Claims: 1-22 (all partially, as applicable)

Expression cassette useful for the secretion of a heterologous protein as a fusion protein comprising a polynucleotide encoding from its 5' to 3' direction a promoter, a signal peptide, a secretion competent polypeptide, and a heterologous protein; said expression cassette wherein the secretion competent polypeptide is insect juvenile hormone esterase; corresponding vector, transformed cells and methods employing it.

2. Claims: 1-22 (all partially, as applicable)

As for subject 1, but wherein the secretion competent polypeptide is human GM-CSF.

3. Claims: 1-22 (all partially, as applicable)

As for subject 1, but wherein the secretion competent polypeptide is human Interleukin-4.

4. Claims: 1-22 (all partially, as applicable)

As for subject 1, but wherein the secretion competent polypeptide is mouse Interleukin-4.

5. Claims: 1-22 (all partially, as applicable)

As for subject 1, but wherein the secretion competent polypeptide is tPA.

6. Claims: 1-22 (all partially, as applicable)

As for subject 1, but wherein the secretion competent polypeptide is transferrin.

7. Claims: 1-22 (all partially, as applicable)

As for subject 1, but wherein the secretion competent polypeptide is gamma interferon.

8. Claims: 1-22 (all partially, as applicable)

As for subject 1, but wherein the secretion competent polypeptide is TGF-beta.

FURTHER INFORMATION CONTINUED FROM PCT/ISA/ 210

9. Claims: 1-22 (all partially, as applicable)

As for subject 1, but wherein the secretion competent polypeptide is EGF.

10. Claims: 1-22 (all partially, as applicable)

As for subject 1, but wherein the secretion competent polypeptide is insect adipokinetic hormone precursor.

11. Claims: 1-22 (all partially, as applicable)

As for subject 1, but wherein the secretion competent polypeptide is IGF-1.

12. Claims: 1-22 (all partially, as applicable)

As for subject 1, but wherein the secretion competent polypeptide is stem cell factor.

13. Claims: 1-22 (all partially, as applicable)

As for subject 1, but wherein the secretion competent polypeptide is leptin.

14. Claims: 1-22 (all partially, as applicable)

As for subject 1, but wherein the secretion competent polypeptide is hGH.

15. Claims: 1-22 (all partially, as applicable)

As for subject 1, but wherein the secretion competent polypeptide is erythropoietin.

16. Claims: 1-22 (all partially, as applicable)

As for subject 1, but wherein the secretion competent polypeptide is IL-5.

17. Claims: 1-22 (all partially, as applicable)

As for subject 1, but wherein the secretion competent polypeptide is IL-6.

FURTHER INFORMATION CONTINUED FROM PCT/ISA/ 210

18. Claims: 1-22 (all partially, as applicable)

As for subject 1, but wherein the secretion competent polypeptide is TNF-alpha.

19. Claims: 1-22 (all partially, as applicable)

As for subject 1, but wherein the secretion competent polypeptide is TIMP-1.

20. Claims: 1-22 (all partially, as applicable)

As for subject 1, but wherein the secretion competent polypeptide is secreted alkaline phosphatase.

21. Claims: 1-22 (all partially, as applicable)

As for subject 1, but wherein the secretion competent polypeptide is selected from the group consisting of soluble isoforms of the alpha subunit of the GM-CSF Receptor.

22. Claims: 1-22 (all partially, as applicable)

As for subject 1, but wherein the secretion competent polypeptide is selected from the group consisting of soluble isoforms of the beta subunit of the GM-CSF Receptor.

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